

RFQ 18-B201

**DESIGN-BUILD SERVICES
P2 PARKING STRUCTURE
PACIFIC COAST CAMPUS**

ATTACHMENT C

**PREQUALIFICATION APPLICATION
AND QUESTIONNAIRE**

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I. GENERAL INFORMATION**A. CONTACT INFORMATION****Applicant:** _____

Applicant Contact Person: _____

Address: _____

Phone: _____ Fax: _____

E-mail: _____

B. DESIGN-BUILD ENTITY MEMBERS**List all individuals and legal entities that are Design-Build Entity Members of the Applicant: (Attach additional pages if necessary)**

Trade or Profession (i.e. general contractor, architect, engineer/ discipline, electrical subcontractor, etc.)	Name and Address	Legal Entity (i.e. corporation, partnership, sole proprietor, etc.)

For each Design-Build Member listed above that is not a sole proprietorship or corporation (such as, but not limited to, a partnership or other association), please provide a copy of the agreement creating the partnership or other association.

C. GENERAL CONTRACTOR

Provide the following information about the General Contractor proposed by the Applicant. If the Applicant will serve as the General Contractor, then provide all information requested for the Applicant itself. Attach additional pages if necessary in order to respond to a Question.

1. Name of General Contractor: _____
2. Date of company formation or incorporation: _____
3. State of formation or incorporation: _____
4. How many persons does the General Contractor currently employ? _____

- 5(a)** If the General Contractor is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

- 5(b)** If the General Contractor is an individual doing business as a sole proprietorship, complete the following:

Name and Address of Owner	Years as Owner

- 5(c)** If the General Contractor is a firm that is a joint venture, partnership or other association, provide the following for each member of the joint venture, partnership or association:

Name of Individual Or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

6. Has there been any change in ownership of the General Contractor during the last three years?
☐ Yes ☐ No If "Yes", explain on a separate page
7. Is the General Contractor a subsidiary, parent, holding company or affiliate of another construction firm?
 (NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm)
☐ Yes ☐ No If "Yes", explain on a separate page
8. State the General Contractor's gross revenues for each of the last three years:

YEAR: _____ YEAR: _____ YEAR: _____

\$ _____ \$ _____ \$ _____

9. List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor:

License Number	Trade Classification	Date Issued	Expiration Date

10. Is the General Contractor registered as a Public Works Contractor with the California Division of Industrial Relations?

☐ Yes ☐ No Registration # _____

11. Has the General Contractor changed names or license numbers in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

12. Has any owner, CSLB qualified or corporate officer of the General Contractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

☐ Yes ☐ No If "Yes", explain on a separate page

13. Surety Information for General Contractor:

Bonding Co./Surety: _____

Surety Agent: _____

Agency Address: _____

Telephone No.: _____

14. List all other sureties (name and full address) that have written bonds for the General Contractor during the last five years, including periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

D. ARCHITECT OF RECORD

Provide the following information about each Architect of Record proposed for prequalification. If the Applicant will serve as an Architect of Record, then provide all information requested for the Applicant itself. Attach additional pages if necessary in order to respond to a Question.

Architect of Record Name: _____

1. If the Architect of Record or the Architect of Record's Firm is different from that of the General Contractor, please answer the following:

1(a) If the Architect of Record or the Architect of Record's Firm is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock.

Position	Name and Address	Years with Co.	% Ownership

- 1(b)** If the Architect of Record is an individual doing business as a sole proprietorship, complete the following:

Owner	Years as Owner

- 1(c)** If the Architect of Record's Firm is a joint venture, partnership or other association (other than a corporation); provide the following information for each member of the joint venture, each partner or each other association member.

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

1(d) Has there been any change in ownership of the Architect of Record's Firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades).

☐ Yes ☐ No If "Yes", explain on a separate page

1(e) Is the Architect of Record's Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Architect of Record's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

1(f) Has any corporate officer or owner of the Architect of Record's Firm, worked for any other architectural firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of the Architect of Record's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

1(g) Has the Architect of Record's Firm changed names in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

- 2.** Provide the following information for all known architects employed by the Architect of Record or the Architect of Record's Firm who will be designing the Project or a Project Facility:

Architect Name	License Number	Years in Practice

E. STRUCTURAL ENGINEER

Provide the following information about each Principal Engineer proposed for prequalification. If a Principal Engineer is proposed to perform the services of more than one Principal Engineering Discipline, then submit separate responses on behalf of such Principal Engineer for each Principal Engineering Discipline that it will perform. Attach additional pages if necessary in order to respond to a Question.

1. Provide the following information:

Name: _____

License Number: _____

Principal Engineer Discipline: _____

Years in Practice: _____

2. If the Principal Engineer or Principal Engineer's Firm is different from that of the General Contractor and/or the Architect of Record, please answer the following:

2(a) Date of company formation or incorporation: _____

2(b) State of formation or incorporation: _____

2(c) How many persons does the Principal Engineer or the Principal Engineer's Firm currently employ?

2(d) If the Principal Engineer's Firm is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

2(e) If the Principal Engineer is an individual doing business as a sole proprietorship, complete the following:

Owner	Years as Owner

- 2(f)** If the Principal Engineer's Firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

- 2(g)** Has there been any change in ownership of the Principal Engineer's Firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trade).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(h)** Is the Principal Engineer's Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Principal Engineer's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(i)** Has any corporate officer or owner of the Principal Engineer's Firm worked for any other engineering firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of the Principal Engineer's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(k)** Has the Principal Engineer's Firm changed names in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

3. Provide the following information for all known engineers employed by the Principal Engineer or the Principal Engineer's Firm who will be designing the Project or a Project Facility:

Engineer's Name	License Number	Years in Practice

F. ELECTRICAL ENGINEER

Provide the following information about each Principal Engineer proposed for prequalification. If a Principal Engineer is proposed to perform the services of more than one Principal Engineering Discipline, then submit separate responses on behalf of such Principal Engineer for each Principal Engineering Discipline that it will perform. Attach additional pages if necessary in order to respond to a Question.

1. Provide the following information:

Name: _____

License Number: _____

Principal Engineer Discipline: _____

Years in Practice: _____

2. If the Principal Engineer or Principal Engineer's Firm is different from that of the General Contractor and/or the Architect of Record, please answer the following:

2(a) Date of company formation or incorporation: _____

2(b) State of formation or incorporation: _____

2(c) How many persons does the Principal Engineer or the Principal Engineer's Firm currently employ?

2(d) If the Principal Engineer's Firm is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

2(e) If the Principal Engineer is an individual doing business as a sole proprietorship, complete the following:

Owner	Years as Owner

- 2(f)** If the Principal Engineer's Firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

- 2(g)** Has there been any change in ownership of the Principal Engineer's Firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trade).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(h)** Is the Principal Engineer's Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Principal Engineer's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(i)** Has any corporate officer or owner of the Principal Engineer's Firm worked for any other engineering firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of the Principal Engineer's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(k)** Has the Principal Engineer's Firm changed names in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

3. Provide the following information for all known engineers employed by the Principal Engineer or the Principal Engineer's Firm who will be designing the Project or a Project Facility:

Engineer's Name	License Number	Years in Practice

G. CIVIL ENGINEER

Provide the following information about each Principal Engineer proposed for prequalification. If a Principal Engineer is proposed to perform the services of more than one Principal Engineering Discipline, then submit separate responses on behalf of such Principal Engineer for each Principal Engineer Discipline that it will perform. Attach additional pages if necessary in order to respond to a Question.

1. Provide the following information:

Name: _____

License Number: _____

Principal Engineer Discipline: _____

Years in Practice: _____

2. If the Principal Engineer or Principal Engineer's Firm is different from that of the General Contractor and/or the Architect of Record, please answer the following:

2(a) Date of company formation or incorporation: _____

2(b) State of formation or incorporation: _____

2(c) How many persons does the Principal Engineer or the Principal Engineer's Firm currently employ?

2(d) If the Principal Engineer's Firm is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

2(e) If the Principal Engineer is an individual doing business as a sole proprietorship, complete the following:

Owner	Years as Owner

- 2(f)** If the Principal Engineer's Firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

- 2(g)** Has there been any change in ownership of the Principal Engineer's Firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trade).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(h)** Is the Principal Engineer's Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Principal Engineer's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(i)** Has any corporate officer or owner of the Principal Engineer's Firm worked for any other engineering firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of the Principal Engineer's Firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 2(k)** Has the Principal Engineer's Firm changed names in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

3. Provide the following information for all known engineers employed by the Principal Engineer or the Principal Engineer's Firm who will be designing the Project or a Project Facility:

Engineer's Name	License Number	Years in Practice

H. MECHANICAL SUBCONTRACTOR

Provide the following information about each Mechanical Subcontractor proposed for prequalification. Attach additional pages if necessary in order to respond to a Question.

1. Name of Mechanical Subcontractor: _____
2. Date of company formation or incorporation: _____
3. State of formation or incorporation: _____
4. How many persons does the Mechanical Subcontractor currently employ? _____
- 5(a) If the Mechanical Subcontractor is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

- 5(b) If the Mechanical Subcontractor is a sole proprietorship, complete the following:

Owner	Years as Owner

- 5(c)** If the Mechanical Subcontractor is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, partnership or other association:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

- 6.** Has there been any change in ownership of the Mechanical Subcontractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades).

☐ Yes ☐ No If "Yes", explain on a separate page

- 7.** Is the Mechanical Subcontractor a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 8.** State the Mechanical Subcontractor's gross revenues for each of the last three years:

YEAR: _____ YEAR: _____ YEAR: _____

\$: _____ \$: _____ \$: _____

- 9.** List all California contractor license numbers, classifications and expiration dates currently held by the Mechanical Subcontractor:

License Number	Classification	Date Issued	Expiration Date

- 10.** Has the Mechanical Subcontractor changed names or license numbers in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

11. Has any owner, CSLB qualifier or corporate officer of the Mechanical Subcontractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

☐ Yes

☐ No

If "Yes", explain on a separate page

12. Is the Mechanical Subcontractor registered as a Public Works Contractor with the California Division of Industrial Relations?

☐ Yes

☐ No

Registration # _____

13. Surety Information for Mechanical Subcontractor:

Bonding Co./Surety: _____

Surety Agent: _____

Agent Address: _____

Telephone No.: _____

14. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:

Surety	Address	Dates

I. ELECTRICAL SUBCONTRACTOR

Provide the following information about each Electrical Subcontractor proposed for prequalification. Attach additional pages if necessary in order to respond to a Question.

1. Name of Electrical Subcontractor: _____
2. Date of company formation or incorporation: _____
3. State of formation or incorporation: _____
4. How many persons does the Electrical Subcontractor currently employ? _____

5(a) If the Electrical Subcontractor is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

5(b) If the Electrical Subcontractor is a sole proprietorship, complete the following:

Owner	Years as Owner

- 5(c)** If the Electrical Subcontractor is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, partnership or other association:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

- 6.** Has there been any change in ownership of the Electrical Subcontractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trade).

☐ Yes ☐ No If "Yes", explain on a separate page

- 7.** Is the Electrical Subcontractor a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm).

☐ Yes ☐ No If "Yes", explain on a separate page

- 8.** State the Electrical Subcontractor's gross revenues for each of the last three years:

YEAR: _____ YEAR: _____ YEAR: _____

\$: _____ \$: _____ \$: _____

- 9.** List all California contractor license numbers, classifications and expiration dates currently held by the Electrical Subcontractor:

License Number	Classification	Date Issued	Expiration Date

10. Has the Electrical Subcontractor changed names or license numbers in the past five years?
☐ Yes ☐ No If "Yes", explain on a separate page
11. Has any owner, CSLB qualifier or corporate officer of the Electrical Subcontractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?
☐ Yes ☐ No If "Yes", explain on a separate page
☐ Yes ☐ No If "Yes", explain on a separate page
12. Is the Electrical Subcontractor registered as a Public Works Contractor with the California Division of Industrial Relations?
☐ Yes ☐ No Registration # _____
13. Surety Information for Electrical Subcontractor:
 Bonding Co./Surety: _____
 Surety Agent: _____
 Agent Address: _____
 Telephone No.: _____
14. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

J. OTHER SUBCONTRACTOR(S)

Provide the following information about each Other Subcontractor proposed for prequalification. Attach additional pages if necessary in order to respond to a Question.

1(a) Name of Other Subcontractor: _____

1(b) Other Subcontractor Trade: _____

2. Date of company formation or incorporation: _____

3. State of formation or incorporation: _____

4. How many persons does the Other Subcontractor currently employ? _____

5(a) If the Other Subcontractor is a corporation, provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

5(b) If the Other Subcontractor is a sole proprietorship, complete the following:

Owner	Years as Owner

- 5(c)** If the Other Subcontractor is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, partnership or other association:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

- 6.** Has there been any change in ownership of the Other Subcontractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades).

☐ Yes ☐ No If "Yes", explain on a separate page

- 7.** Is the Other Subcontractor a subsidiary, parent, holding company or affiliate of another firm?

☐ Yes ☐ No If "Yes", explain on a separate page

- 8.** State the Other Subcontractor's gross revenues for each of the last three years:

YEAR: _____ YEAR: _____ YEAR: _____

\$: _____ \$: _____ \$: _____

- 9.** List all California contractor license numbers, classifications and expiration dates currently held by the Other Subcontractor:

License Number	Classification	Date Issued	Expiration Date

- 10.** Has the Other Subcontractor changed names or license numbers in the past five years?

☐ Yes ☐ No If "Yes", explain on a separate page

- 11.** Has any owner, CSLB qualifier or corporate officer of the Other Subcontractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

☐ Yes ☐ No If "Yes", explain on a separate page

12. Is the Other Subcontractor registered as a Public Works Contractor with the California Division of Industrial Relations?

☐ Yes

☐ No

Registration # _____

13. Surety Information for Other Subcontractor:

Bonding Co./Surety: _____

Surety Agent: _____

Agent Address: _____

Telephone No.: _____

14. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:

Surety	Address	Dates

II. ESSENTIAL REQUIREMENTS

This Part II consists of pass/fail questions. Failure by Applicant to pass any of the Questions in this Part II will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire. If the Applicant is not disqualified, its overall rating will be based on the scored questions, which follow in later Parts of this Questionnaire.

1. Does the General Contractor and each Proposed Subcontractor possess a current California contractor's license for the Project for which it intends to submit a proposal that conforms to the requirements of the Request for Prequalification?
☐ Yes ☐ No

2. Does the General Contractor have a liability insurance policy with a policy limit of at least \$5,000,000 per occurrence and \$10,000,000 aggregate from a California admitted company?
☐ Yes ☐ No

If yes, provide the following information. (Attach a separate page if more than one policy.)

Insurance Company _____

Policy Number _____

Policy limit per occurrence _____

Aggregate policy limit _____

3. Does the General Contractor and each Proposed Subcontractor have current workers' compensation insurance policy as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?
☐ Yes ☐ No

If yes, provide the following information. (Attach a separate page if more than one policy)

Insured: _____

Carrier: _____

Policy Number: _____

4. Has the latest copy of an audited financial statement (no more than two years old) with accompanying notes been attached for the General Contractor? (A financial statement that is not audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.)

☐ Yes ☐ No

5. Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the General Contractor's current bonding capacity is sufficient for the project for which you seek pre-qualification? (Statement must be from the surety company, not an agent or broker.)

☐ Yes ☐ No

6. Has any contractor license held by the General Contractor or any of its Associates or any of the Proposed Subcontractors or their Associates, been revoked or suspended within the last five (5) years?
☐ Yes ☐ No
7. Has a surety firm completed a contract or paid for completion of a contract on behalf of any Design-Build Entity Member because they were terminated by the project owner within the last five (5) years?
☐ Yes ☐ No
8. Within the last five years was the General Contractor or any Proposed Subcontractor declared ineligible to bid on a public works contract, to be awarded a public works contract, or to perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
☐ Yes ☐ No
9. Has any Design-Build Entity Member (contractors, architects, engineers or others) or any of its Associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?
☐ Yes ☐ No
10. Do all architects and engineers who are expected to work on the Project possess current California professional licenses for the services which they intend to provide that conform to the requirements of the Request for Prequalification?
☐ Yes ☐ No
11. Is each proposed Architect of Record covered by a professional liability insurance policy with a policy limit of at least **\$1,000,000** per occurrence and **\$3,000,000** in the aggregate from a California admitted company that provides coverage for work on a design-build contract?
☐ Yes ☐ No

If yes, provide the name of the insurance company, policy number, and policy limits.

Insurance Company

Policy Number

Policy limit per occurrence

Aggregate policy limit

12. Are all Principal Engineers covered by a professional liability insurance policy with a policy limit of at least **\$1,000,000** per occurrence and **\$3,000,000** in the aggregate from a California admitted company that provides coverage for work on a design-build contract?

☐ Yes ☐ No

If yes, provide the name of the insurance company, policy number, and policy limits. (Attach a separate page if more than one policy.)

Insurance Company

Policy Number

Policy limit per occurrence

Aggregate policy limit

13. Has any professional license held by any architect who will provide services to the Project been revoked at any time in the last five years?

☐ Yes ☐ No

14. Has any professional license held by any engineer who will provide services been revoked at any time in the last five years?

☐ Yes ☐ No

15. Does the General Contractor and each Proposed Subcontractor seeking prequalification, know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

☐ Yes ☐ No

16. a. Is the General Contractor's experience modification rate for the most recent three-year period an average of 1.00 or less, and its average total recordable injury or illness rate and does its average lost work rate for the most recent three-year period not exceed the applicable statistical standards for its business ?

☐ Yes ☐ No

[Note: If your answer to Question 16 a. is "no." then you must answer the following Question. If your answer is "yes" then proceed to Question 16 c.]

16. b. If the answer to Question 16 a. is 'no', is the General Contractor a party to an alternative dispute resolution system as provided for in Section 3201.5 of the California Labor Code?

☐ Yes ☐ No

[Note: A "no" answer to Questions 16 a. and b. is grounds for disqualification]

16. c. If your answer to Question 16 a. is "yes, list the General Contractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the recent past three premium years:

Year: 2016 EMR: _____

Year: 2015 EMR: _____

Year: 2014 EMR: _____

[NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.]

17. Does the General Contractor have an injury prevention program instituted pursuant to Section 3201.5 or 6401.7 of the California Labor Code

☐ Yes ☐ No

III. TEAM MEMBER QUESTIONS

A. TEAM MEMBER QUESTIONS FOR THE GENERAL CONTRACTOR

Applicant is to provide responses to each of the following questions pertaining to its proposed General Contractor. Responses will be scored. A failure of the proposed General Contractor to achieve the overall minimum score required for this Part III, A will result in the disqualification of the Applicant. If the General Contractor is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

- A-1.** How many years has the General Contractor been licensed in California?

Years: _____

- A-2.** Is the General Contractor or any of its Associates currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ Case Number

_____ Bankruptcy Court

_____ Date Filed

- A-3.** Was the General Contractor or any of its Associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question A-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ Case Number

_____ Bankruptcy Court

_____ Date Filed

- A-4.** At any time in the last five years has the General Contractor or any of its Associates been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

- A-5.** Has the General Contractor or any of its Associates ever been terminated for default on a construction contract?

☐ Yes ☐ No If "Yes", explain on a separate page.

- A-6.** In the last five years has the General Contractor or any of its Associates, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- A-7.** Has the General Contractor or any of its Associates ever been denied an award of a public construction contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, other contractors, or subcontractors. You need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor and a project owner.)

- A-8.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the General Contractor or any of its Associates concerning their work on a public construction project?

☐ Yes ☐ No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- A-9.** In the past five years has the General Contractor or any of its Associates made any claim in excess of \$50,000 against a project owner concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- A-10.** In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Contractor or any of its Associates due to non-payment or contractor losses?

☐ Yes ☐ No

If "yes," on a separate page give name of the insured; name the insurance carrier, the form of insurance, and the year of the refusal.

- A-11.** Has the General Contractor or any of its Associates (or any manager of an Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

- A-12.** Has the General Contractor or any of its Associates (or any manager of an Associate if the Associate is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- A-13.** Has the General Contractor or any of its Associates (or any manager of an Associate if the Associate is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify on a separate page, the person or persons convicted, the court and case number, the crimes, and the year convicted.

- A-14.** During the last five years, has there ever been a period of time when the General Contractor or any of its Associates had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the period during which no surety bonds were in place, name of entity without the surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

- A-15.** Has CAL OSHA cited and assessed penalties against the General Contractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision. Note that the existence of any "willful" violations of Part 1 (commencing with Section 6300) of Division 5 of the California Labor Code may constitute grounds for disqualification

- A-16.** Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the General Contractor or any of its Associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- A-17.** Has the EPA, any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or any of its Associates or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- A-18.** How often does the General Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- A-19.** Within the last five years has there ever been a period when the General Contractor or any of its Associates had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the General Contractor for the last five years. (If the General Contractor has been in business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

- A-20.** Has there been more than one occasion during the last five years in which the General Contractor or any of its Associates was required to pay either back wages or penalties for failure to comply with the state's prevailing wage laws?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- A-21.** During the last five years, has there been more than one occasion in which the General Contractor or any of its Associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- A-22.** If the General Contractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- A-23.** At any time during the last five years, has the General Contractor or any of its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes ☐ No

If "yes," provide the date of the findings and attach a copy of the final decision.

Date of Findings

- A-24.** Do agreements exist between the General Contractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticeable crafts which may be employed by the General Contractor on this project?

☐ Yes ☐ No

- A-25.** Has the General Contractor built any new buildings for California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-26.** Has the General Contractor built any new buildings with a similar building use for California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-27.** Has the General Contractor participated as the general contractor member of a design-build team in the design-build of any new buildings for California schools or colleges in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-28.** Has the General Contractor participated as the general contractor member of a design-build team in the design-build of any new buildings with a **similar building use** for California schools or colleges in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-29.** Has the General Contractor participated as the general contractor member of a design-build team in the design-build of any projects (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-30.** Has the General Contractor participated as the general contractor member of a design-build team in the design-build of new buildings **with a similar building use** (other than California schools or colleges) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-31.** Have the General Contractor and the proposed Architect of Record ever worked on the same design-build team (either as design-build entity members or pursuant to a subcontract) to design and build a project (other than California school or college projects) anywhere in the United States?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-32.** Have the General Contractor and the proposed Architect of Record ever worked on the same design-build team (either as design-build entity members or pursuant to a subcontract) to design and build a California school or college project?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-33.** Have the General Contractor and the proposed Architect of Record ever worked on the same design-build team (either as design-build entity members or pursuant to a subcontract) to design and build a project **with a similar building use** (other than California school or college projects) anywhere in the United States?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- A-34.** Have the General Contractor and the proposed Architect of Record ever worked on the same design-build team (either as design-build entity members or pursuant to a subcontract) to design and build a project **with a similar building use** for a California school or college project?

☐ Yes

☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

A-35. Please fill in the following blanks based on the General Contractor's attached audited financial statement:

Current Assets: \$ _____

Current Liabilities: \$ _____

Total Net Worth: \$ _____

Current Ratio (Assets/Liabilities): _____

Working Capital (Current Assets - Current Liabilities): \$ _____

B. TEAM MEMBER QUESTIONS FOR THE ARCHITECT OF RECORD

Applicant is to provide responses to each of the following questions pertaining to its proposed Architect(s) of Record. If more than one Architect of Record is proposed, separate responses shall be provided for each proposed Architect of Record. Responses will be scored. A failure of any proposed Architect of Record to achieve the overall minimum score required for this Part III, B will result in the disqualification of the Applicant. If the Architect of Record is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

B-1. How many years has the Architect of Record been licensed and practicing in California?

Years: _____

B-2. Is the Architect of Record or the Architect of Record's Firm currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

B-3. Was the Architect of Record or the Architect of Record's Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question B-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

B-4. In the last five years has the Architect of Record or the Architect of Record's Firm, been debarred, disqualified, removed or otherwise prevented from proposing on, or completing, public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

B-5. In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Architect of Record or the Architect of Record's Firm concerning its architectural work on a public construction project?

☐ Yes ☐ No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

B-6. In the past five years has the Architect of Record or the Architect of Record's Firm, made any claim in excess of \$50,000 against a project owner concerning its architectural work on a public construction project and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- B-7.** In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the Architect of Record's Firm, based on non-payment or losses?

☐ Yes ☐ No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

- B-8.** Has the Architect of Record or the Architect of Record's Firm (or any manager of the Architect of Record's Firm if the Architect of Record's Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," on a separate page identify who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

- B-9.** Has the Architect of Record or the Architect of Record's Firm (or any manager of the Architect of Record's Firm if the Architect of Record's Firm is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- B-10.** Has the Architect of Record or the Architect of Record's Firm (or any manager of the Architect of Record's Firm if the Architect of Record's Firm is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," on a separate page identify the person or persons convicted, the court and case number, the crimes and the year convicted.

- B-11.** Has the Architect of Record designed any new buildings for California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Budget Cost	Actual Cost

- B-12.** Has the Architect of Record designed any new buildings with a similar building use for California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Budget Cost	Actual Cost

- B-13** Has the Architect of Record participated as the architect member of a design-build team in the design-build of any new buildings for California schools or colleges in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- B-14** Has the Architect of Record participated as the architect member of a design-build team in the design-build of any new buildings with a similar building use for California schools or colleges in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- B-15** Has the Architect participated as the architect member of a design-build team in the design-build of any projects (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- B-16** Has the Architect participated as the architect member of a design-build team in the design-build of **similar building use** (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes☐ No

If "Yes", how many? _____

Project Name	Client	Bid Cost	Actual Cost

- B-17.** Has the Department of Consumer Affairs taken any disciplinary action against the Architect of Record or the Architect of Record's Firm?

☐ Yes☐ No

If "Yes", please explain on a separate page.

C. TEAM MEMBER QUESTIONS FOR THE STRUCTURAL ENGINEER

Applicant is to provide responses to each of the following questions pertaining to its proposed Structural Engineer(s). If more than one Structural Engineer is proposed, separate responses shall be provided for each proposed Structural Engineer. If one Structural Engineer is proposed to perform the services of more than one Structural Engineer Discipline, then a separate response is required from the proposed Structural Engineer for each such Structural Engineer Discipline. Responses will be scored. A failure of any proposed Structural Engineer to achieve the overall minimum score required for this Part III, C will result in the disqualification of the Applicant. If a Structural Engineer is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

Name of Structural Engineer: _____

Structural Engineer Discipline: _____

Name of Firm: _____

- C-1.** How many years has the Structural Engineer been licensed and practicing in California in the field or discipline for which prequalification is being requested?

Years: _____

- C-2.** Is the Structural Engineer or the Structural Engineer's Firm currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- C-3.** Was the Structural Engineer or the Structural Engineer's Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question C-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- C-4.** In the last five years has the Structural Engineer or the Structural Engineer's Firm been debarred, disqualified, removed or otherwise prevented from proposing on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- C-5.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Structural Engineer or the Structural Engineer's Firm concerning its engineering work on a public construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- C-6.** In the past five years has the Structural Engineer or the Structural Engineer's Firm made any claim in excess of \$50,000 against a project owner concerning engineering work on a public construction project and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- C-7.** In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Structural Engineer or the Structural Engineer's Firm based on non-payment or losses?

☐ Yes ☐ No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

- C-8.** Has the Structural Engineer or the Structural Engineer's Firm (or any manager of the Structural Engineer's Firm if the Structural Engineer's Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," on a separate page identify who was found liable or convicted, the name of the public agency, the court and case number, the date of the investigation and the grounds for the finding.

- C-9.** Has the Structural Engineer or the Structural Engineer's Firm (or any manager of the Structural Engineer's Firm if the Structural Engineer's Firm is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- C-10.** Has the Structural Engineer or the Structural Engineer's Firm (or any manager of the Structural Engineer's Firm if the Structural Engineer's Firm is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the court and case number, the crimes and the year convicted.

- C-11.** Has the Structural Engineer performed engineering services, in the Structural Engineer Discipline for which it is seeking prequalification, for any California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Budget Cost	Actual Cost

- C-12** Has the Structural Engineer participated as an engineering member, providing services in the Structural Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any new buildings for California schools or colleges in the last 5 years, in which the total contract value of the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- C-13** Has the Structural Engineer participated as the engineering member, providing services in the Structural Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any projects (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- C-14.** Has the Department of Consumer Affairs taken any disciplinary action against the Structural Engineer?

☐ Yes ☐ No If "Yes", please explain on a separate page.

D. TEAM MEMBER QUESTIONS FOR THE ELECTRICAL ENGINEER

Applicant is to provide responses to each of the following questions pertaining to its proposed Electrical Engineer(s). If more than one Electrical Engineer is proposed, separate responses shall be provided for each proposed Electrical Engineer. If one Electrical Engineer is proposed to perform the services of more than one Electrical Engineer Discipline, then a separate response is required from the proposed Electrical Engineer for each such Electrical Engineer Discipline. Responses will be scored. A failure of any proposed Electrical Engineer to achieve the overall minimum score required for this Part III, D will result in the disqualification of the Applicant. If an Electrical Engineer is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

Name of Electrical Engineer: _____

Electrical Engineer Discipline: _____

Name of Firm: _____

- D-1.** How many years has the Electrical Engineer been licensed and practicing in California in the field or discipline for which prequalification is being requested?

Years: _____

- D-2.** Is the Electrical Engineer or the Electrical Engineer's Firm currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- D-3.** Was the Electrical Engineer or the Electrical Engineer's Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question F-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- D-4.** In the last five years has the Electrical Engineer or the Electrical Engineer's Firm been debarred, disqualified, removed or otherwise prevented from proposing on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- D-5.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Electrical Engineer or the Electrical Engineer's Firm concerning its engineering work on a public construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- D-6.** In the past five years has the Electrical Engineer or the Electrical Engineer's Firm made any claim in excess of \$50,000 against a project owner concerning engineering work on a public construction project and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- D-7.** In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Electrical Engineer or the Electrical Engineer's Firm based on non-payment or losses?

☐ Yes ☐ No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

- D-8.** Has the Electrical Engineer or the Electrical Engineer's Firm (or any manager of the Electrical Engineer's Firm if the Electrical Engineer's Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," on a separate page identify who was found liable or convicted, the name of the public agency, the court and case number, the date of the investigation and the grounds for the finding.

- D-9.** Has the Electrical Engineer or the Electrical Engineer's Firm (or any manager of the Electrical Engineer's Firm if the Electrical Engineer's Firm is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- D-10.** Has the Electrical Engineer or the Electrical Engineer's Firm (or any manager of the Electrical Engineer's Firm if the Electrical Engineer's Firm is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the court and case number, the crimes and the year convicted.

- D-11.** Has the Electrical Engineer performed engineering services, in the Electrical Engineer Discipline for which it is seeking prequalification, for any California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Budget Cost	Actual Cost

- D-12.** Has the Electrical Engineer performed engineering services, in the Electrical Engineer Discipline for which it is seeking prequalification, for any California schools or colleges in the last 5 years in which the total contract value for the construction of the project of a **similar building use** was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Budget Cost	Actual Cost

- D-13** Has the Electrical Engineer participated as an engineering member, providing services in the Electrical Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any new buildings for California schools or colleges in the last 5 years, in which the total contract value of the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- D-14** Has the Electrical Engineer participated as an engineering member, providing services in the Electrical Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of **similar building use** for California schools or colleges in the last 5 years, in which the total contract value of the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- D-15** Has the Electrical Engineer participated as the engineering member, providing services in the Electrical Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any projects (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- D-16** Has the Electrical Engineer participated as the engineering member, providing services in the Electrical Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of **similar building use** (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- D-17.** Has the Department of Consumer Affairs taken any disciplinary action against the Electrical Engineer?

☐ Yes ☐ No If "Yes", please explain on a separate page.

E TEAM MEMBER QUESTIONS FOR THE CIVIL ENGINEER

Applicant is to provide responses to each of the following questions pertaining to its proposed Civil Engineer(s). If more than one Civil Engineer is proposed, separate responses shall be provided for each proposed Civil Engineer. If one Civil Engineer is proposed to perform the services of more than one Civil Engineer Discipline, then a separate response is required from the proposed Civil Engineer for each such Civil Engineer Discipline. Responses will be scored. A failure of any proposed Civil Engineer to achieve the overall minimum score required for this Part III, E will result in the disqualification of the Applicant. If a Civil Engineer is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

Name of Civil Engineer: _____

Civil Engineer Discipline: _____

Name of Firm: _____

- E-1.** How many years has the Civil Engineer been licensed and practicing in California in the field or discipline for which prequalification is being requested?

Years: _____

- E-2.** Is the Civil Engineer or the Civil Engineer's Firm currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- E-3.** Was the Civil Engineer or the Civil Engineer's Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question F-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- E-4.** In the last five years has the Civil Engineer or the Civil Engineer's Firm been debarred, disqualified, removed or otherwise prevented from proposing on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- E-5.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Civil Engineer or the Civil Engineer's Firm concerning its engineering work on a public construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- E-6.** In the past five years has the Civil Engineer or the Civil Engineer's Firm made any claim in excess of \$50,000 against a project owner concerning engineering work on a public construction project and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- E-7.** In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Civil Engineer or the Civil Engineer's Firm based on non-payment or losses?

☐ Yes ☐ No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

- E-8.** Has the Civil Engineer or the Civil Engineer's Firm (or any manager of the Civil Engineer's Firm if the Civil Engineer's Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

If "yes," on a separate page identify who was found liable or convicted, the name of the public agency, the court and case number, the date of the investigation and the grounds for the finding.

- E-9.** Has the Civil Engineer or the Civil Engineer's Firm (or any manager of the Civil Engineer's Firm if the Civil Engineer's Firm is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- E-10.** Has the Civil Engineer or the Civil Engineer's Firm (or any manager of the Civil Engineer's Firm if the Civil Engineer's Firm is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," on a separate page identify who was convicted, the court and case number, the crimes and the year convicted.

- E-11.** Has the Civil Engineer performed engineering services, in the Civil Engineer Discipline for which it is seeking prequalification, for any California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Budget Cost	Actual Cost

- E-12.** Has the Civil Engineer performed engineering services, in the Civil Engineer Discipline for which it is seeking prequalification, for any California schools or colleges in the last 5 years in which the total contract value for the construction of the project of a **similar building use** was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Budget Cost	Actual Cost

- E-13** Has the Civil Engineer participated as an engineering member, providing services in the Civil Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any new buildings for California schools or colleges in the last 5 years, in which the total contract value of the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- E-14** Has the Civil Engineer participated as an engineering member, providing services in the Civil Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of **similar building use** for California schools or colleges in the last 5 years, in which the total contract value of the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- E-15** Has the Civil Engineer participated as the engineering member, providing services in the Civil Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any projects (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- E-16** Has the Civil Engineer participated as the engineering member, providing services in the Civil Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of **similar building use** (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?

☐ Yes ☐ No If "Yes", how many? ____

Project Name	Client	Bid Cost	Actual Cost

- E-17.** Has the Department of Consumer Affairs taken any disciplinary action against the Civil Engineer?

☐ Yes ☐ No If "Yes", please explain on a separate page.

F. TEAM MEMBER QUESTIONS FOR THE MECHANICAL SUBCONTRACTOR

Applicant is to provide responses to each of the following questions pertaining to its proposed Mechanical Subcontractor(s). If more than one Mechanical Subcontractor is proposed, separate responses shall be provided for each proposed Mechanical Subcontractor. Responses will be scored; however, evaluation of Mechanical Subcontractor scores for this Part III, F will be on a pass/fail basis. Any Mechanical Subcontractor that fails to receive the required overall minimum score for this Part III, F will be disqualified. The failure of at least one Mechanical Subcontractor to achieve the overall minimum score required by this Part III, F for prequalification shall result in the disqualification of the Applicant for the entire Project. Scored points for the Mechanical Subcontractor(s) will not be added or deducted to determine the Applicant's total score.

Mechanical Subcontractor Name: _____

F-1. How many years has the Mechanical Subcontractor been licensed in California to perform Mechanical subcontracting work? Years: _____

F-2. Is the Mechanical Subcontractor or any of its Associates currently the debtor in a bankruptcy case?
☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ Case Number

_____ Bankruptcy Court

_____ Date Filed

F-3. Was the Mechanical Subcontractor or any of its Associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question H-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ Case Number

_____ Bankruptcy Court

_____ Date Filed

F-4. At any time in the last five years has the Mechanical Subcontractor or any of its Associates been assessed liquidated damages of more than \$50,000 on a project under a public construction contract?

☐ Yes ☐ No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

F-5. In the last five years has the Mechanical Subcontractor or any of its Associates ever defaulted on a public construction contract?

☐ Yes ☐ No If "Yes", explain on a separate page.

F-6. In the last five years has the Mechanical Subcontractor or any of its Associates been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- F-7.** Has the Mechanical Subcontractor or any of its Associates ever been denied an award of a public construction contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, or other contractors.

- F-8.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Mechanical Subcontractor or any of its Associates concerning their work on a public construction project?

☐ Yes ☐ No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- F-9.** In the past five years has the Mechanical Subcontractor or any of its Associates made any claim in excess of \$50,000 against a project owner or a general contractor concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- F-10.** In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Mechanical Subcontractor or any of its Associates due to non-payment or contractor losses?

☐ Yes ☐ No

If "yes," on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

- F-11.** Has the Mechanical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

- F-12.** Has the Mechanical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- F-13.** Has the Mechanical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify on a separate page the person or persons convicted, the court and case number, the crimes, and the year convicted.

- F-14.** During the last five years, has there ever been a period of time when the Mechanical Subcontractor or any of its Associates had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

- F-15.** Has CAL OSHA cited and assessed penalties against the Mechanical Subcontractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.

- F-16.** Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Mechanical Subcontractor or any of its Associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- F-17.** Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Mechanical Subcontractor, or any of its Associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- F-18.** How often does the Mechanical Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?
- _____

- F-19.** List the Mechanical Subcontractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the recent past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.)

Year:	<u>2016</u>	EMR:	_____
Year:	<u>2015</u>	EMR:	_____
Year:	<u>2014</u>	EMR:	_____

- F-20.** Within the last five years has there ever been a period when the Mechanical Subcontractor or any of its Associates had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the Mechanical Subcontractor for the last five years. (If the Mechanical Subcontractor has been in business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

- F-21.** Has there been more than one occasion during the last five years in which the Mechanical Subcontractor or any of its Associates was required to pay either back wages or penalties for failure to comply with the California state prevailing wage laws?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- F-22.** During the last five years, has there been more than one occasion in which the Mechanical Subcontractor or any of its Associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- F-23.** If the Mechanical Subcontractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- F-24.** At any time during the last five years, has the Mechanical Subcontractor or any of its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes ☐ No

If "yes," provide the date of the findings and attach a copy of the final decision.

Date of Findings

- F-25.** Do agreements exist between the Mechanical Subcontractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticeable crafts which may be employed by the Mechanical Subcontractor on this project?

☐ Yes ☐ No

- F-26.** Has the Mechanical Subcontractor performed a subcontract for the Mechanical work portion of the construction of any California school or college projects in the last 5 years in which the total contract price of the Mechanical work constructed was in excess of \$ 2 Million?

☐ Yes ☐ No If "Yes", how many____?

Project Name	Client	Bid Cost	Actual Cost

- F-27.** Has the Mechanical Subcontractor performed a subcontract for the Mechanical work portion of the construction of a **similar building use** for any California school or college projects in the last 5 years in which the total contract price of the Mechanical work constructed was in excess of \$ 2 Million?

☐ Yes ☐ No If "Yes", how many____?

Project Name	Client	Bid Cost	Actual Cost

- F-28** Has the Mechanical Subcontractor performed a subcontract for the Mechanical work portion of any design-build project (other than a California school or college project) anywhere in the United States in the last five years in which the total contract price of the Mechanical work was in excess of \$ 2 Million?

☐ Yes ☐ No If "Yes", how many____?

Project Name	Client	Bid Cost	Actual Cost

F-29 Has the Mechanical Subcontractor performed a subcontract for the Mechanical work portion of any design-build project of a **similar building use** (other than a California school or college project) anywhere in the United States in the last five years in which the total contract price of the Mechanical work was in excess of \$ 2 Million?

☐ Yes

☐ No

If "Yes", how many____?

Project Name	Client	Bid Cost	Actual Cost

G. TEAM MEMBER QUESTIONS FOR THE ELECTRICAL SUBCONTRACTOR(S)

Applicant is to provide responses to each of the following questions pertaining to its proposed Electrical Subcontractor(s). If more than one Electrical Subcontractor is proposed, separate responses shall be provided for each proposed Electrical Subcontractor. Responses will be scored; however, evaluation of Electrical Subcontractor scores for this Part III, G will be on a pass/fail basis. Any Electrical Subcontractor that fails to receive the required overall minimum score for this Part III, G will be disqualified. The failure of at least one Electrical Subcontractor to achieve the overall minimum score required by this Part III, G for prequalification shall result in the disqualification of the Applicant for the entire Project. Scored points for the Electrical Subcontractor(s) will not be added or deducted to determine the Applicant's total score.

Electrical Subcontractor Name: _____

- G-1.** How many years has the Electrical Subcontractor been licensed in California to perform electrical subcontracting work? Years: _____

- G-2.** Is the Electrical Subcontractor or any of its Associates currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- G-3.** Was the Electrical Subcontractor or any of its Associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question I-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

- G-4.** At any time in the last five years has the Electrical Subcontractor or any of its Associates been assessed liquidated damages of more than \$50,000 on a public construction contract?

☐ Yes ☐ No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

- G-5.** In the past five years has the Electrical Subcontractor or any of its Associates ever been terminated for default on a public construction contract?

☐ Yes ☐ No If "Yes", explain on a separate page

- G-6.** In the last five years has the Electrical Subcontractor or any of its Associates been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- G-7.** Has the Electrical Subcontractor or any of its Associates ever been denied an award of a public construction contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers or other contractors.)

- G-8.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Electrical Subcontractor or any of its Associates concerning their work on a public construction project?

☐ Yes ☐ No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- G-9.** In the past five years has the Electrical Subcontractor or any of its Associates made any claim in excess of \$50,000 against a project owner or a general contractor concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- G-10.** In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Electrical Subcontractor or any of its Associates due to non-payment or contractor losses?

☐ Yes ☐ No

If "yes," on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

- G-11.** Has the Electrical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

- G-12.** Has the Electrical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- G-13.** Has the Electrical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify on a separate page the person or persons convicted, the court and case number, the crimes, and the year convicted.

- G-14.** During the last five years, has there ever been a period of time when the Electrical Subcontractor or any of its Associates had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

- G-15.** Has CAL OSHA cited and assessed penalties against the Electrical Subcontractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.

- G-16.** Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Electrical Subcontractor or any of its Associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- G-17.** Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Electrical Subcontractor, or any of its Associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- G-18.** How often does the Electrical Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- G-19.** List the Electrical Subcontractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the recent past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.)

Year:	<u>2016</u>	EMR:	_____
Year:	<u>2015</u>	EMR:	_____
Year:	<u>2014</u>	EMR:	_____

- G-20.** Within the last five years has there ever been a period when the Electrical Subcontractor or any of its Associates had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the Electrical Subcontractor for the last five years. (If the Electrical Subcontractor has been in business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

- G-21.** Has there been more than one occasion during the last five years in which the Electrical Subcontractor or any of its Associates was required to pay either back wages or penalties for failure to comply with the California state prevailing wage laws?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- G-22.** During the last five years, has there been more than one occasion in which the Electrical Subcontractor or any of its Associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- G-23.** If the Electrical Subcontractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- G-24.** At any time during the last five years, has the Electrical Subcontractor or any of its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes ☐ No

If "yes," provide the date of the findings and attach a copy of the final decision.

Date of Findings

- G-25.** Do agreements exist between the Electrical Subcontractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticeable crafts which may be employed by the Electrical Subcontractor on this project?

☐ Yes ☐ No

- G-26.** Has the Electrical Subcontractor performed a subcontract for the electrical work portion of the construction of any California school or college projects in the last 5 years in which the total contract price of the electrical work constructed was in excess of \$1 million?

☐ Yes ☐ No If "Yes", how many____?

- G-27.** Has the Electrical Subcontractor performed a subcontract for the electrical work portion of the construction of a **similar building use** for a California school or college projects in the last 5 years in which the total contract price of the electrical work constructed was in excess of \$1 million?

☐ Yes ☐ No If "Yes", how many____?

- G-28.** Has the Electrical Subcontractor performed a subcontract for the electrical work portion of any design-build project (other than a California school or college project) anywhere in the United States in the last five years in which the total contract price of the electrical work was in excess of \$1 million?

☐ Yes ☐ No If "Yes", how many____?

- G-29.** Has the Electrical Subcontractor performed a subcontract for the electrical work portion of any design-build project for a **similar building use** (other than a California school or college project) anywhere in the United States in the last five years in which the total contract price of the electrical work was in excess of \$1 million?

☐ Yes ☐ No If "Yes", how many____?

H. TEAM MEMBER QUESTIONS FOR OTHER SUBCONTRACTOR(S)

Applicant is to provide responses to each of the following questions pertaining to its proposed Other Subcontractors. If more than one Other Subcontractor is proposed, separate responses shall be provided for each proposed Other Subcontractor. Responses will be scored; however, evaluation of Other Subcontractor scores for this Part III, H will be on a pass/fail basis. Any Other Subcontractor that fails to receive the overall minimum score required for this Part III, H will be disqualified. The failure of at least one Other Subcontractor to achieve the overall minimum score required by this Part III, H for qualification shall result in the disqualification of the Applicant for the entire Project. Scored points for the Other Subcontractor(s) will not be added or deducted to determine the Applicant's total score.

Other Subcontractor Name: _____

Other Subcontractor Trade: _____

H-1. How many years has the Other Subcontractor been licensed in California to perform work?

Years: _____

H-2. Is the Other Subcontractor or any of its Associates currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ Case Number

_____ Bankruptcy Court

_____ Date Filed

H-3. Was the Other Subcontractor or any of its Associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question K-2, above)

☐ Yes ☐ No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ Case Number

_____ Bankruptcy Court

_____ Date Filed

H-4. At any time in the last five years has the Other Subcontractor or any of its Associates been assessed liquidated damages of more than \$50,000 on a project under a public construction contract?

☐ Yes ☐ No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

H-5. In the last five years has the Other Subcontractor or any of its Associates ever defaulted on a public construction contract?

☐ Yes ☐ No If "Yes", explain on a separate page.

H-6. In the last five years has the Other Subcontractor or any of its Associates been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public construction project for any reason?

☐ Yes ☐ No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- H-7.** Has the Other Subcontractor or any of its Associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

☐ Yes ☐ No

If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, or other contractors.

- H-8.** In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Other Subcontractor or any of its Associates concerning their work on a public construction project?

☐ Yes ☐ No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- H-9.** In the past five years has the Other Subcontractor or any of its Associates made any claim in excess of \$50,000 against a project owner or a general contractor concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- H-10.** In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Other Subcontractor or any of its Associates due to non-payment or contractor losses?

☐ Yes ☐ No

If "yes," on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

- H-11.** Has the Other Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

- H-12.** Has the Other Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes," explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

- H-13.** Has the Other Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify on a separate page the person or persons convicted, the court and case number, the crimes, and the year convicted.

- H-14.** During the last five years, has there ever been a period of time when the Other Subcontractor or any of its Associates had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

- H-15.** Has CAL OSHA cited and assessed penalties against the Other Subcontractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.

- H-16.** Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Other Subcontractor or any of its Associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- H-17.** Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Other Subcontractor, or any of its Associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

☐ Yes ☐ No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- H-18.** How often does the Other Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- H-19.** List the Other Subcontractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.)

Year:	<u>2015</u>	EMR:	_____
Year:	<u>2014</u>	EMR:	_____
Year:	<u>2013</u>	EMR:	_____

- H-20.** Within the last five years has there ever been a period when the Other Subcontractor or any of its Associates had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the Other Subcontractor for the last five years. (If the Other Subcontractor has been in business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

- H-21.** Has there been more than one occasion during the last five years in which the Other Subcontractor or any of its Associates was required to pay either back wages or penalties for failure to comply with the California state prevailing wage laws?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- H-22.** During the last five years, has there been more than one occasion in which the Other Subcontractor or any of its Associates have been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- H-23.** If the Other Subcontractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- (a) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- H-24.** At any time during the last five years, has the Other Subcontractor or any of its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes ☐ No

If "yes," provide the date of the findings and attach a copy of the final decision.

Date of Findings

- H-25.** Do agreements exist between the Other Subcontractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding five years, for all apprenticeable crafts which may be employed by the Other Subcontractor on this project?

☐ Yes ☐ No

- H-26.** Has the Other Subcontractor performed a subcontract for the Other Subcontractor Trade portion of the construction of any California school or college projects in the last 5 years in which the total contract price of the Other Subcontractor Trade portion of the work constructed was in excess of \$250,000?

☐ Yes ☐ No If "Yes", how many_____?

- H-27.** Has the Other Subcontractor performed a subcontract for the Other Subcontractor Trade portion of the construction of any California school or college projects **with a similar building use** in the last 5 years in which the total contract price of the Other Subcontractor Trade portion of the work constructed was in excess of \$250,000?

☐ Yes ☐ No If "Yes", how many_____?

- H-28.** Has the Other Subcontractor performed a subcontract for the Other Subcontractor Trade portion of any design-build project (other than a California school or college project) anywhere in the United States in the last five years in which the total contract price of the Other Subcontractor Trade portion of the work was in excess of \$250,000?

☐ Yes ☐ No If "Yes", how many_____?

- H-29.** Has the Other Subcontractor performed a subcontract for the Other Subcontractor Trade portion of any design-build project with a **similar building use** (other than a California school or college project) anywhere in the United States in the last five years in which the total contract price of the Other Subcontractor Trade portion of the work was in excess of \$250,000?

☐ Yes ☐ No If "Yes", how many_____?

CERTIFICATION

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the persons or entities that is a constituent member of the Design-Build Entity that is the Applicant Qualifier seeking to be pre-qualified by the District. Make additional copies this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned _____, certify and declare that I have read all the foregoing answers to this Pre-Qualification Questionnaire; that all responses are correct and complete of my own knowledge and belief, there are no omissions of material fact or information that render any response to be false or misleading. I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

(Signature)

(Printed name)

(Place of Execution)

(Date)

(Name of the person or entity* on whose behalf this Certificate is signed)

*Name of the Design-Build Entity (or the Design-Build Entity Member authorized to represent the Design-Build Entity) on whose behalf this Certificate is being signed and submitted on behalf of the Applicant.

END OF PRE-QUALIFICATION APPLICATION AND QUESTIONNAIRE